

# Additional effects of psychological interventions on subjective and objective outcomes compared with exercise-based cardiac rehabilitation alone in patients with cardiovascular disease: A systematic review and meta-analysis

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## Abstract

**Background:** Exercise-based cardiac rehabilitation (ebCR) often includes various psychological interventions for lifestyle change or distress management. However, the additional benefit of specific psychological interventions on depression, anxiety, quality of life, cardiac morbidity and cardiovascular or total mortality is not well investigated.

**Design:** Systematic review and meta-analysis.

**Methods:** Randomized controlled trials and controlled cohort trials published between January 1995 and October 2017 comparing ebCR with or without pre-specified psychosocial interventions were selected and evaluated on the basis of predefined inclusion and outcome criteria.

**Results:** Out of 15,373 records, 20 studies were identified, including 4450 patients with coronary artery disease (88.5%) or congestive heart failure (11.5%), respectively. Studies were of low to moderate quality and methodological heterogeneity was high. As compared with ebCR alone, additional psychological interventions for lifestyle change or distress management showed a trend to reduce depressive symptoms (standardized mean difference  $-0.13$ , 95% confidence interval (CI)  $-0.30$ ;  $0.05$ ). Furthermore, during a follow-up of five years, distress management was associated with a trend to reduce cardiac morbidity (risk ratio  $0.74$ , 95% CI  $0.51$ ;  $1.07$ ). There was no evidence for an additional impact of either psychological lifestyle change interventions or distress management on anxiety, quality of life, cardiovascular or total mortality.

**Conclusions:** Specific psychological interventions offered during ebCR may contribute to a reduction of depressive symptoms and cardiac morbidity, but there remains considerable uncertainty under which conditions these interventions exert their optimal effects. (CRD42015025920).

## Keywords

Cardiac, secondary prevention, rehabilitation, psychological interventions, quality of life, depression, anxiety, morbidity, mortality, systematic review

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## Introduction

There is robust evidence that physical exercise is effective in primary and secondary prevention of cardiovascular disease (CVD).<sup>1,2</sup> Hence, the most prominent concept in cardiac rehabilitation in western countries is exercise-based cardiac rehabilitation (ebCR), which additionally may include education, support of individual lifestyle changes, evidence-based medication and various psychological interventions, then defined as 'multi-component' or 'multimodal rehabilitation'.

Previous meta-analyses on ebCR reported reductions in cardiovascular morbidity,<sup>3-6</sup> cardiovascular mortality<sup>3,4,7,8</sup> and total mortality.<sup>3,4,9</sup> One meta-analysis on ebCR also reported positive effects on depressive symptoms,<sup>5</sup> and quality of life (QoL) also may be improved by ebCR.<sup>8</sup> Importantly, there is strong evidence that the clinical effectiveness of ebCR depends on dose and intensity<sup>3,7-9</sup> and moreover may be influenced by the clinical characteristics of ebCR participants. For example patients after an acute cardiovascular event may especially benefit from early ebCR participation.<sup>3,8,9</sup> These considerations may at least partly explain neutral results on all-cause mortality in a recent reevaluation of the latest Cochrane analysis focusing on randomized controlled trials (RCTs) published in 2000 or later, and also including studies without clinical events of interest during follow-up.<sup>10</sup> In this reevaluation, however, only seven out of  $N=22$  studies focused on patients after acute coronary syndrome (ACS) exclusively, but an analysis of this important subgroup has not been published.<sup>10</sup>

These uncertainties with respect to minimal requirements on ebCR content and volume to improve clinical outcomes underscore the need to critically reevaluate all therapeutic interventions delivered during ebCR. This also includes specific psychological interventions (e.g. psychologically supported lifestyle changes and various types of distress management).<sup>1,11</sup>

Previous meta-analyses have demonstrated beneficial effects of psychological interventions on symptoms of depression, anxiety, distress and QoL.<sup>12-16</sup> However, effects on cardiovascular morbidity, cardiovascular mortality and total mortality were inconclusive, with predominantly older meta-analyses showing positive effects.<sup>12-14</sup> One recent meta-analysis could demonstrate a significant reduction of cardiovascular mortality (risk ratio (RR) 0.79, 95% confidence interval (CI) 0.63; 0.98), while the rates of coronary revascularizations, non-fatal myocardial infarctions and total mortality were not significantly reduced.<sup>15</sup> Another recent meta-analysis, specifically examining effects of cognitive-behavioural therapy, showed a non-significant trend to reduce cardiac events, whereas data on cardiovascular mortality were not available.<sup>16</sup>

Still, current guidelines and position papers on CVD secondary prevention<sup>1,11</sup> univocally recommend so called 'multimodal interventions', combining structured exercise training not only with medical supervision, information and education but also with specific psychological interventions (e.g. stress management, coping support, or psychological interventions to facilitate lifestyle change). However, the added value of these specific psychological interventions on top of ebCR has not been thoroughly evaluated, as control groups in all previous meta-analyses on psychological interventions<sup>12-15</sup> were heterogeneous, comprising 'usual care' as well as ebCR. In addition, so called 'psychological interventions' often were combined with some form of physical exercise. Thus, to our best knowledge, until now no meta-analysis has specifically focused on the effects of well-defined psychological interventions on top of ebCR compared with ebCR alone.

In addition, there is uncertainty as to which different kinds of psychological interventions, for example, psychologically supported lifestyle change or stress management, may result in favourable outcomes with respect to mental wellbeing, quality of life, cardiovascular morbidity and mortality. Differential effects of various psychological interventions have been addressed in only one meta-analysis, comparing 'educational', 'behavioural', 'cognitive', 'relaxation' and 'support' or combinations of the aforementioned interventions compared with 'usual care' or ebCR.<sup>13</sup> According to this meta-analysis all psychological interventions reduced anxiety, and 'behavioural' and/or 'cognitive' interventions also reduced depressive symptoms. 'Behavioural interventions' additionally resulted in a tendency to reduce total mortality and non-fatal myocardial infarction.<sup>13</sup> However, the interpretation of this meta-analysis is hampered due to an inconsistent allocation of 'exercise training' to either intervention or control groups.

Finally, many previous meta-analyses on ebCR or psychological interventions included studies published before 1995,<sup>6,12-15</sup> thus, they could not control for the effects of modern pharmacotherapy and invasive interventions, and effects on morbidity/mortality might be overestimated.

The objective of this systematic review therefore was to evaluate the current efficacy of additional, well defined psychological interventions compared with ebCR alone on depression, anxiety, QoL, cardiovascular morbidity, cardiovascular mortality and total mortality in CVD patients.

## Methods

The study protocol was published in advance in the Prospero registry (CRD42015025920). The meta-analysis was performed in accordance to the *Cochrane*

handbook for systematic reviews of interventions<sup>17</sup> and reported following the PRISMA guidance.<sup>18</sup>

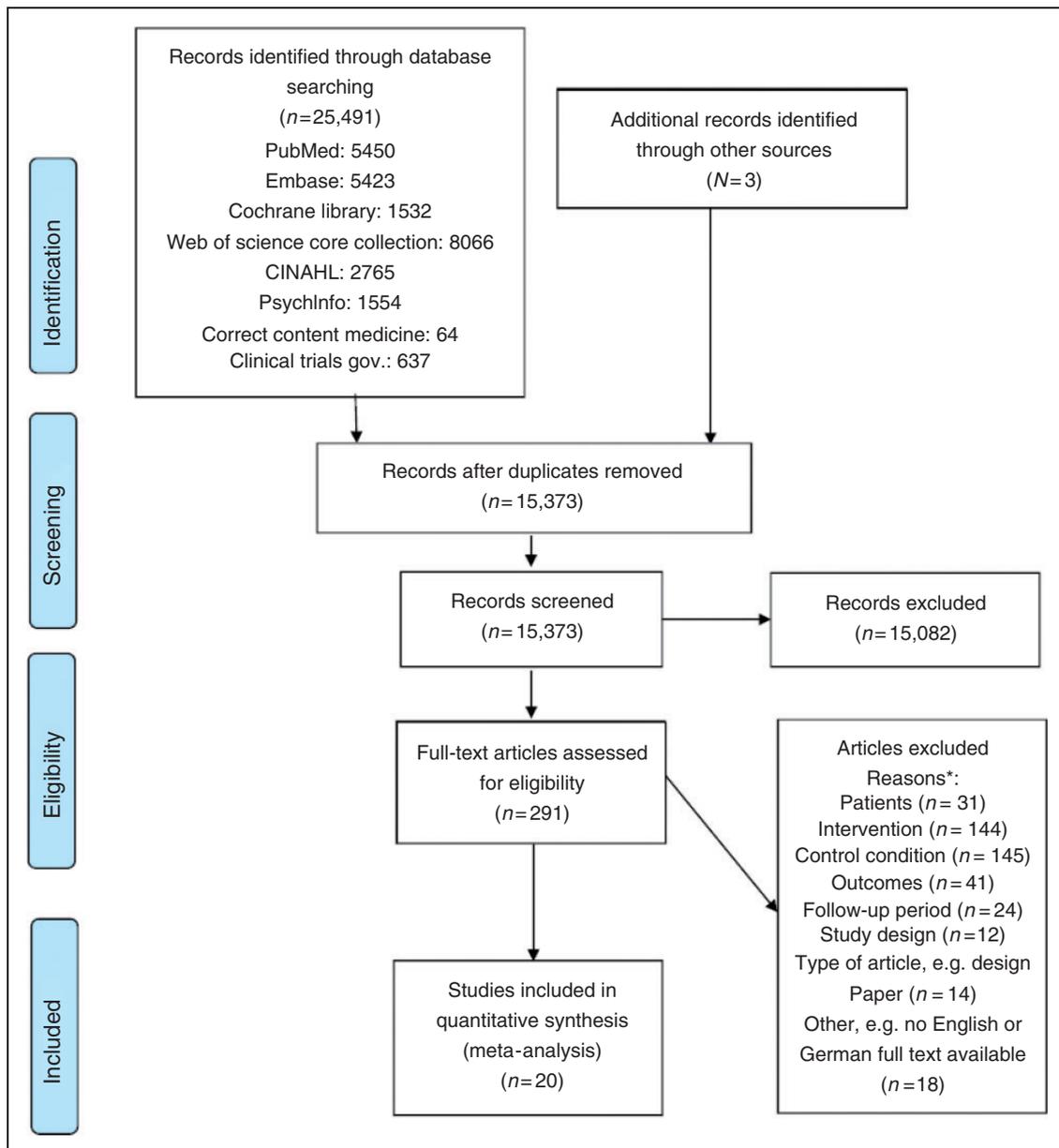
**Data searches and sources**

We performed a systematic literature search along pre-defined search terms from January 1995 to October 2017 (for details see Supplementary Material Table 1 online). The following databases have been used: PubMed, Embase, Cochrane Library, Web of Science Core Collection, CINAHL, Psycinfo, Current Contents Medicine, and ClinicalTrials.gov. Moreover, reference lists of recent meta-analyses and potentially eligible

studies were screened for additional publications of interest. EndNote X7 was used for literature management.

**Study selection criteria**

The study selection process is outlined in Figure 1. Two trained doctoral students (CK, NM, supervised by CA and CHL) independently screened all titles and abstracts for relevant trials, excluded irrelevant studies and assessed the remaining trials for eligibility using full texts. The resulting trials were included into a qualitative synthesis by two independent experts (CA, CHL),



**Figure 1.** PRISMA study flow chart (inclusion period January 1995 to October 2017).

\*Note: articles may be excluded for more than one reason.

who had to agree in their judgement in order to select publications for the quantitative analysis. The final selection was performed by a consensus of the two experts. Study selection had to meet the following study selection criteria:

1. *Study design.* RCTs or controlled cohort trials (CCTs), with a minimum follow-up period of six months, were included.
2. *Patients.* Age  $\geq 18$  years, men and women, with coronary artery disease (CAD) including patients with stable CAD, after ACS, including myocardial infarction (MI), percutaneous coronary intervention (PCI) or coronary artery bypass grafting (CABG), and patients with clinically stable congestive heart failure (CHF) of ischaemic or non-ischaemic origin.
3. *Intervention.* Exercise-based cardiac rehabilitation plus any of the following specific psychological interventions: lifestyle change support, coping support, social support, distress management (e.g. psychological intervention addressing work or family stress, anxiety, depression) or relaxation therapy, or combinations of the aforementioned psychological interventions. All interventions had to be based on established psychological principles and had to be delivered by trained professionals. If there was an index cardiac event, the intervention (ebCR + psychological intervention) had to start within six months thereafter.

For specification of the psychological interventions the following subgroups were defined:

- *Psychologically supported lifestyle change*, that is, interventions to facilitate lifestyle change;
  - *Distress management*, that is, interventions to specifically address stress, anxiety or depression via coping support, social support and stress management with or without relaxation therapies;
  - *Lifestyle change plus distress management*, that is, a combination of the aforementioned interventions.
4. *Control-intervention.* ebCR, which may include education, medical and brief psychological advice, but no specific psychological interventions as defined above. There were no minimum requirements with respect to intensity and/or duration of ebCR.
  5. *Outcomes.* Depression, anxiety, QoL, cardiovascular morbidity, cardiovascular mortality and total mortality. Depression, anxiety and QoL had to be assessed with validated psychometric instruments. Cardiovascular morbidity, cardiovascular mortality and total mortality had to be assessed by clinical records or official databases.

### Data extraction and management

Data were extracted by two biometricians independently (MH, KJ). Disagreements were resolved by discussion. The data extraction table was optimized by using three selected trials for pilot testing. For dichotomous outcomes the number of total participants and the number of participants with an event and for continuous outcomes, the mean, standard deviation and sample size were extracted and stratified by intervention group. The following data were extracted in addition: name of the first author, year of publication, subgroup allocation, study design, sample size (randomized and analysed), intervention duration, follow-up period, time periods for data collection, measuring instruments and risk of bias.

### Risk of bias assessment

The risk of bias for each study was assessed by the Cochrane Collaboration's tool for assessing risk of bias in randomized trials.<sup>17</sup> In concordance with Anderson et al.,<sup>8</sup> three further criteria for assessing the risk of bias were investigated:

- Appropriate balance of participants' baseline characteristics between intervention and control group;
- Implementation of an intention-to-treat analysis;
- Balance of participants' treatment at baseline between intervention and control group (except specific psychological interventions).

### Statistical analysis

Meta-analyses were performed overall but also separately with regard to the predefined interventions: psychologically supported lifestyle change, distress management, and lifestyle change plus distress management. For binary outcomes, as effect measures, RRs with their 95% CIs were chosen (RR < 1 indicating a lower risk in favour of the intervention). For continuous outcomes, Hedges' *g* as the standardized mean difference (SMD) with its 95% CI was used as the effect measure.

Treatment-associated change scores from baseline and their differences between the treatment groups had been rarely reported in the included trials. Therefore, final values were used in the meta-analyses. In Focht et al.,<sup>19</sup> QoL results for men and women were combined in order to get a mean and a standard deviation for the whole patient group, using the formulas provided by the Cochrane Handbook.<sup>17</sup> All reported follow-up time points (one, three, six, nine, 12, 24, 36 and 60 months) were taken into consideration for data extraction.

RRs were pooled using the Mantel–Haenszel method, and SMDs using the inverse-variance method. Anticipating a relevant heterogeneity between the ‘true’ effects of the various interventions evaluated in the included studies, a random-effects model according to the Hartung–Knapp adjustment<sup>20</sup> has been applied. As sensitivity analysis, the results of the fixed-effect model were calculated additionally.

All results were checked for statistical heterogeneity by I<sup>2</sup> statistics with 50–75% representing substantial heterogeneity and 75–100% representing considerable heterogeneity. Potential publication bias was planned to be investigated by visual examination of funnel plots and statistical tests on asymmetry. Nevertheless, funnel plot asymmetry could not be assessed because of the low number of studies at each follow-up time point.

Sensitivity analyses were done incorporating the baseline values and apart, concentrating on RCTs. With respect to baseline values, we assumed a correlation estimate of 0.7 when deriving missing standard deviations for the changes from baseline per treatment group and used the formula provided by the Cochrane Handbook.<sup>17</sup> In order to assess the impact of CCTs on the overall effects a sensitivity analysis with only RCTs was done for each outcome where possible.

Beyond the predefined interventions the data available did not allow to analyse additional characteristics of individual studies or patient subgroups (e.g. predefined psychosocial condition, sex).

R version 3.4.4 (R Foundation for Statistical Computing, 2018) and the R meta package version 4.9-1 (developed by Guido Schwarzer) were used for statistical analyses.

## Results

### Study selection

The PRISMA flow chart is shown in Figure 1. In summary, extensive database and additional hand-search resulted in 15,373 records, from which 15,082 were excluded. Two hundred and ninety-one full-text articles were assessed for eligibility and qualitative analysis, and 21 publications based on 20 studies were finally included into the quantitative synthesis.

### Study characteristics

**Study design.** There were 17 RCTs<sup>19,21–37</sup> and three CCTs,<sup>38–40</sup> one of which had a cross-sectional design.<sup>38</sup> The study size ranged from  $N=70$ –1127 patients in total and follow-up periods ranged from six months up to five years. The only cross-sectional study covered a follow-up period from two up to 36 months. In five studies the follow-up time did not

exceed the duration of the intervention.<sup>27,30,35,37,40</sup> Two reports were based on the same cohort,<sup>27,29</sup> but reported on different outcomes and therefore were included into the final selection. For further details see Table 1.

**Populations.** In general, populations were of high heterogeneity. Most studies (16 out of 20) included mixed CAD populations (stable CAD, MI, PCI or CABG); the remaining studies included only patients with either stable CAD,<sup>19,21</sup> after MI<sup>37,39</sup> or after CABG.<sup>30</sup> One study included patients with chronic systolic CHF.<sup>34</sup> For further details see Table 1.

**Interventions.** There was a considerable heterogeneity with respect to duration and content of the psychological interventions (duration: 1–60 months; time actively being spent for intervention: 1–100 h). For further details see Table 1.

**Controls.** There was also a considerable heterogeneity with respect to duration and intensity of ebCR (duration: 1–12 months; time spent for ebCR: 11–100 h), but always being on a par with the corresponding intervention group (ebCR plus psychological intervention). For further details see Table 1.

**Outcomes.** Thirteen studies provided data on depression,<sup>21–25,27,30,32,33,35,36,39,40</sup> eight studies on anxiety<sup>21,24,25,27,30,35,37,39</sup> and nine on QoL.<sup>19,21,23,24,26,27,34,37,38</sup> All psychological outcomes were assessed by validated instruments (for details see Table 1). For methodological reasons data on depression of five studies<sup>23,25,33,38,40</sup> were excluded from the meta-analysis. The same was true for data on anxiety from two trials<sup>25,37</sup> and data of two trials on QoL<sup>23,38</sup> (for details see Supplementary Table 2).

Because of the diversity of measuring tools for evaluating QoL, neither overall effects nor intervention subgroup effects were calculated. On the basis of comparable instruments and similar follow-up periods only two studies in the lifestyle change intervention subgroup<sup>19,21</sup> and two studies in the distress management subgroup<sup>26,27</sup> could be pooled.

Eight studies reported data on cardiovascular morbidity.<sup>23,25,28,29,31,33,36,37</sup> Cardiovascular morbidity was measured using different, mostly combined endpoints, ranging from any (non-fatal) acute cardiovascular event including PCI/CABG to stroke or peripheral revascularization, and emergency visits. Apart from that, some studies reported the total number of events and some counted the number of patients with at least one event. These two types of measurements cannot be combined in a meta-analysis. Supplementary Table 3 shows a detailed overview of the measurements of

Table 1. Characteristics of all studies included into the meta-analysis.

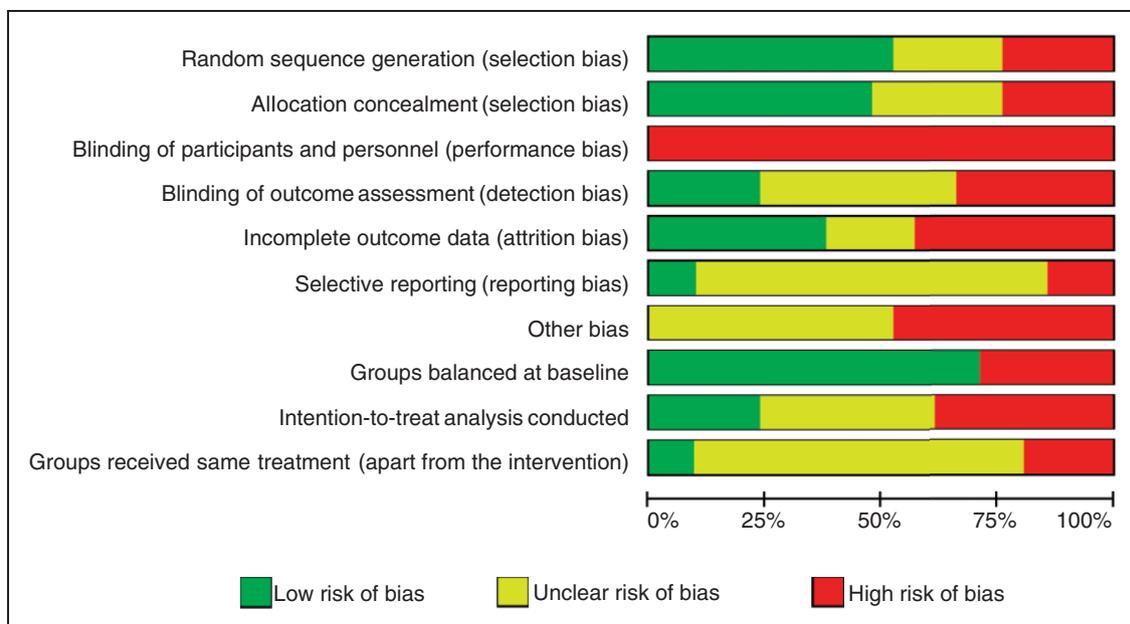
Study	Design	N	Patients Clinical condition; gender; mean age	Intervention Content; total length and hours of specific psychosocial intervention	Control Content; total length; hours	Outcomes	Follow-up (max.)
<b>ebCR plus psychological lifestyle change support (psylC)</b>							
Chair et al., 2013	RCT	146	CAD 68.5% male; 66.4 years Poor motivation	ebCR + psylC 6 months; 3 h	ebCR 6 months; 55 h	Depression, anxiety, QoL	12 months
Focht et al., 2004	RCT	147	CAD (75%) 52.3% male; 64.8 years	ebCR + psylC 9 months; 18 h	ebCR 3 months; ≥ 36 h	QoL	12 months
Peerson et al., 2017	CCT/CS	1127	MI, CABG, PCI 79.0% male; 61.6 years	ebCR + psylC 6 months; n.a.	ebCR n.a.	Depression, anxiety, QoL	2–36 months
Scholz et al., 2006	RCT	198	MI, CABG 82.3% male; 58.5 years	ebCR + psylC 1.5 months; n.a.	ebCR 1 month; n.a.	Depression	12 months
<b>ebCR plus distress management (DM)</b>							
Andersson et al., 2010	RCT	130	MI, PCI, CABG 100% female; 53.5 years	ebCR + DM 5 years; n.a.	ebCR 1 month; n.a.	Depression, QoL, emergency visits	5 years
Barth et al., 2006	RCT	59	CAD, ACS, PCI, CABG With depression 76.3% male; 58.2 years	ebCR + DM 1 month; 4–6 h	ebCR 1 month	Anxiety, depression, QoL	24 months
Blumenthal et al., 2016	RCT	151	CAD, ACS, PCI, CABG 63% male; 61.0 years	ebCR + DM 3 months; 18 h	ebCR 3 months; n.a.	Depression, anxiety, hospitalization, CV events, overall mortality	5 years
Brügemann et al., 2007	RCT	137	PCI, CABG 100% male; 57.0 years No psychosocial problems	ebCR + DM 2 months; n.a.	ebCR 1.5 months; 11 h	QoL	9 months
Karlisson et al., 2007	RCT	224	MI, CABG 77% male; 63.5 years	ebCR + DM 1 year; 40 h	ebCR 3 months; ≥ 27 h	Anxiety, depression, QoL	12 months
Neves et al., 2009	RCT	81	CAD, MI 85.5% male; 59.5 years	ebCR + DM 3 months; 36 h	ebCR 3 months; 40 h	Hospitalization, overall mortality	2 years
O'Rourke et al., 1999	CCT	70	MI 72.8% male; 58.5 years	ebCR + DM 1.5 months; n.a.	ebCR 2 months; n.a.	Depression, anxiety	6 months
Plüss et al., 2011	RCT	224	MI, CABG 77.5% male; 63 years No mental disorder	ebCR + DM 1 year; 40 h	ebCR 3 months; ≥ 27 h	Hospitalization, CV events, overall mortality	5 years
Raghuram et al., 2014	RCT	250				Depression, anxiety	12 months

(continued)

**Table 1.** Continued

Study	Design	N	Patients Clinical condition; gender; mean age	Intervention Content; total length and hours of specific psychosocial intervention	Control Content; total length; hours	Outcomes	Follow-up (max.)
Rugulies et al., 1996	CCT	74	CABG 100% male; 53.0 years No mental disorder	ebCR + DM 12 months; n.a.	ebCR 12 months; > 100 h	Depression	12 months
van Dixhoorn et al., 1999	RCT	156	CAD, MI, CABG 88% male; 57 years MI, CABG n.a.	ebCR + DM 12 months; 100 h ebCR + DM 1.5 months; 6 h	ebCR 1 month; n.a. ebCR 1 month; ≥ 12.5 h	Hospitalization, CV events, CV mortality	5 years
<b>ebCR plus psychological lifestyle change support (psylC) and distress management (DM)</b>							
Beckie et al., 2011	RCT	252	MI, CABG, PCI 100% female; 63.5 years	ebCR + psylC + DM 3 months; 13 h	ebCR 3 months; 36 h	Depression	9 months
Black et al., 1998	RCT	60	CAD, ACS, PCI, CABG 88% male; 60.2 years Psychosocial distress	ebCR + psylC + DM Up to 2 months; 1–7 h	ebCR 2 months; n.a.	Depression, hospitalization	12 months
Meng et al., 2016	RCT	513	CHF 77% male; 61.5 years	ebCR + psylC + DM 3 weeks; 5 h	ebCR 3 weeks; n.a.	QoL	12 months
Pfaeffli Dale et al., 2015	RCT	123	CAD, MI, PCI/CABG 81.3% male; 59.5 years	ebCR + psylC + DM 5 months; web-based; n.a.	ebCR 1.5–6 months; n.a.	Depression, anxiety	6 months
Segbregts et al., 2005	RCT	204	MI, CABG 86.5% male; 56.4 years	ebCR + psylC + DM 2 months; 20 h	ebCR 1.5 months; n.a.	Depression, CV events	9 months
Vahedian-Azimi et al., 2016	RCT	70	MI 67.5% male; 61.3 years	ebCR + psylC + DM 24 months; 10 h plus 21 webinars	ebCR n.a.	Anxiety, QoL, CV events, overall mortality	24 months

Studies are displayed separated into three subgroups: exercise-based rehabilitation (ebCR) plus psychological lifestyle change support (psylC) (four of 20), ebCR plus distress management (DM) (10 of 20), and ebCR plus psylC and DM (six of 20).  
 RCT: randomized controlled trial; CCT: controlled cohort trial; CS: cross-sectional design; CAD: stable coronary artery disease; MI: myocardial infarction; ACS: acute coronary syndrome; CABG: coronary artery bypass grafting; PCI: percutaneous coronary intervention; CHF: congestive heart failure; ebCR: exercise-based cardiac rehabilitation; psylC: psychological lifestyle change support; DM: distress management; QoL: quality of life; CV: cardiovascular; n.a.: not available



**Figure 2.** Summary of the risk of bias in studies included into the meta-analysis.

morbidity. Due to only few studies with morbidity data and the diversity of morbidity definitions, an overall effect and intervention subgroup effects could not be calculated.

Three studies reported total mortality,<sup>25,28,37</sup> one study cardiovascular mortality<sup>31</sup> and one study both.<sup>29</sup> Because these endpoints are represented by only a low number of events an overall effect could not be calculated.

### Study quality

Overall, the methodological quality of studies included was moderate to low, even without taking into account performance bias, which cannot be avoided in psychological interventions. The risk of bias is summarized in Figure 2 and Supplementary Table 4. The three CCTs were graded as trials with a high risk of bias.

### Depression

The effect on depressive symptoms of all kinds of psychological interventions was in favour of the intervention, although it did not reach statistical significance (SMD  $-0.13$ , 95% CI  $-0.3$ ;  $0.05$  (Figure 3).

In the lifestyle change intervention subgroup the pooled estimate supported the intervention (SMD  $-0.19$ , 95% CI  $-2.89$ ;  $2.51$ ). The CI of the random-effects model was very wide due to only two RCTs for heterogeneity estimation,<sup>21,22</sup> resulting in substantial heterogeneity ( $I^2 = 69\%$ ).

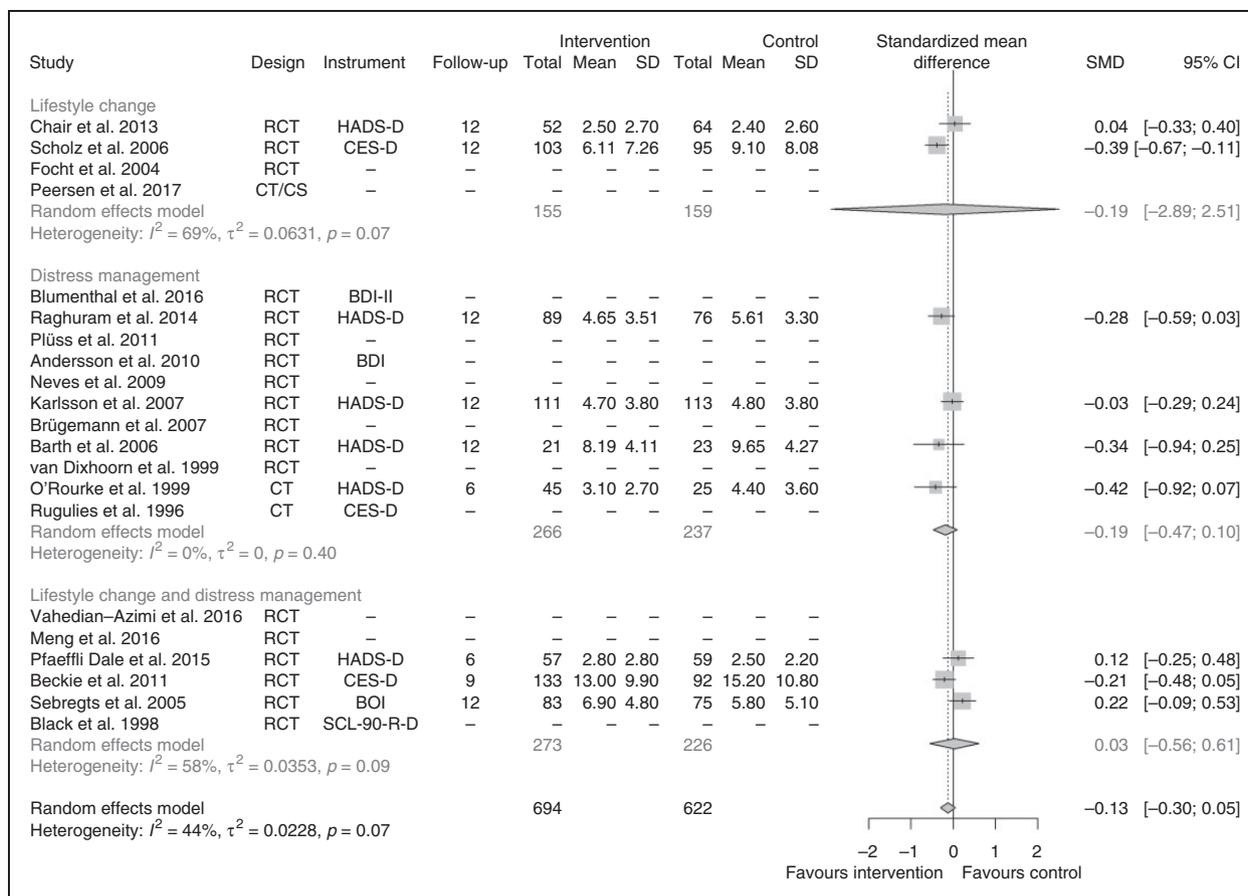
In the distress management intervention subgroup there were three RCTs<sup>24,27,30</sup> and one CCT<sup>39</sup> providing

depression data for a subgroup meta-analysis and the estimated pooled effect favoured the intervention (SMD  $-0.19$ , 95% CI  $-0.47$ ;  $0.10$ ). Although the effects of the CCT<sup>39</sup> were slightly higher compared with the RCTs, no statistical heterogeneity was revealed ( $I^2 = 0\%$ ). The three RCTs of the lifestyle change plus distress management intervention subgroup<sup>32,35,36</sup> did not show any treatment effect (SMD  $0.03$ , 95% CI  $-0.56$ ;  $0.61$ ), but substantial statistical heterogeneity ( $I^2 = 58\%$ ).

A sensitivity analysis was performed by including the reported baseline values for depression and then calculating the changes from baseline. The overall effect was still in favour of the intervention (see Supplementary Figure 1). In another sensitivity analysis the overall effect of RCTs only was calculated. The overall effect estimate changed only slightly to  $-0.10$  (95% CI  $-0.29$ ;  $0.08$ ) in the random-effects model and was still in favour of the intervention. The result of the fixed-effect model was similar and offered no further insight.

### Anxiety

No difference for the overall treatment effect on anxiety was found (SMD  $0.01$ , 95% CI  $-0.24$ ;  $0.27$ ; Figure 4). The only study in the lifestyle change intervention subgroup<sup>21</sup> showed nearly no difference between the intervention and the control condition (SMD  $0.04$ , 95% CI  $-0.33$ ;  $0.40$ ). In the distress management subgroup<sup>24,27,30,39</sup> there was a small but insignificant effect in favour of the intervention group (SMD  $-0.11$ , 95% CI  $-0.29$ ;  $0.06$ ), although the effect in the only CCT in this subgroup<sup>39</sup> was in the opposite



**Figure 3.** Effects of the interventions on depression, all studies together, and separated for studies on lifestyle change, distress management and both interventions together. RCT: randomized controlled trial; CT: cohort trial; CS: cross-sectional; SMD: standardized mean difference; CI: confidence interval

direction. The only trial in the lifestyle change and distress management intervention subgroup<sup>35</sup> had a significant effect in favour of the control intervention (SMD 0.43, 95% CI 0.07; 0.80).

The sensitivity analysis with baseline-corrected treatment effects led to a similar overall result (for further details see Supplementary Figure 2). Excluding the one CCT with data on anxiety<sup>39</sup> led to an overall effect of only RCTs of 0.00 (95% CI -0.32; 0.32) in the random-effects model. Similarly the application of a fixed-effect model showed no effect.

**QoL**

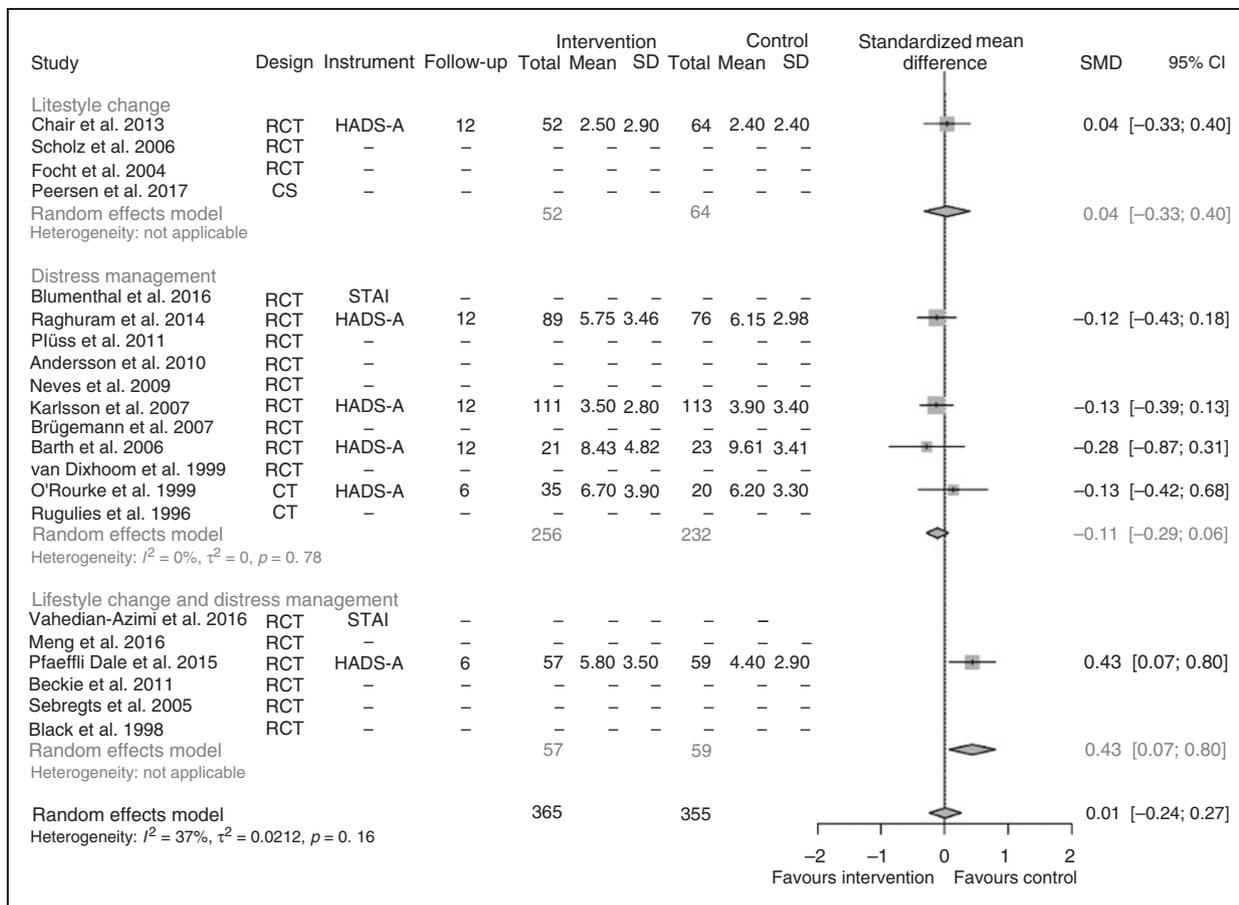
A summary of all data included on QoL is displayed in Figure 5. Seven treatment effects assessed in four RCTs<sup>24,26,27,37</sup> favoured the psychological interventions (SMD ≥ 0.1), one treatment effect in one RCT<sup>26</sup> favoured the control (SMD ≤ -0.1) and seven results from four RCTs<sup>19,21,24,26</sup> were indifferent (SMD > -0.1 and < 0.1). The extreme values of Vehedian-Azimi

et al.<sup>37</sup> are considered at high risk of bias because there was a strong and long-lasting steady improvement with regard to both SF-36 component scores for the intervention group. In contrast, the values of the control group decreased directly after starting the study and remained unchanged thereafter.

A sensitivity analysis considering the baseline values resulted in seven studies which favoured the psychological interventions (SMD > 0.1), but now five studies favoured the control intervention (SMD < -0.1). For further details see Supplementary Figure 3.

**Morbidity**

Figure 6 visualizes the treatment effects per study in a forest plot, calculated as ‘patients with at least one event’ under specification of the follow-up period and the endpoint under consideration. The combination of three RCTs in the distress management subgroup<sup>25,29,31</sup> as well as another study in the distress management subgroup<sup>28</sup> favoured the intervention with respect to



**Figure 4.** Effects of the interventions on anxiety, all studies together, and separated for studies on lifestyle change, distress management, and both interventions together.

RCT: randomized controlled trial; CT: cohort trial; CS: cross-sectional; SMD: standardized mean difference; CI: confidence interval

cardiovascular events or hospitalization, respectively. The risk ratios of the three RCTs in the lifestyle change and distress management subgroup<sup>33,36,37</sup> on cardiovascular events or hospitalization, respectively, were near 1. Vahedian-Azimi et al.<sup>37</sup> reported zero events in both treatment groups. Here, the risk ratio was derived by a continuity correction.<sup>41</sup>

In addition to Figure 6, the effects of the three RCTs including distress management, defining morbidity as cardiovascular events and having a follow-up of five years<sup>25,29,31</sup> are further summarized in Figure 7. Distress management results in moderately reduced cardiovascular events over five years, although the effect is not statistically significant (RR 0.74, 95% CI 0.51; 1.07).

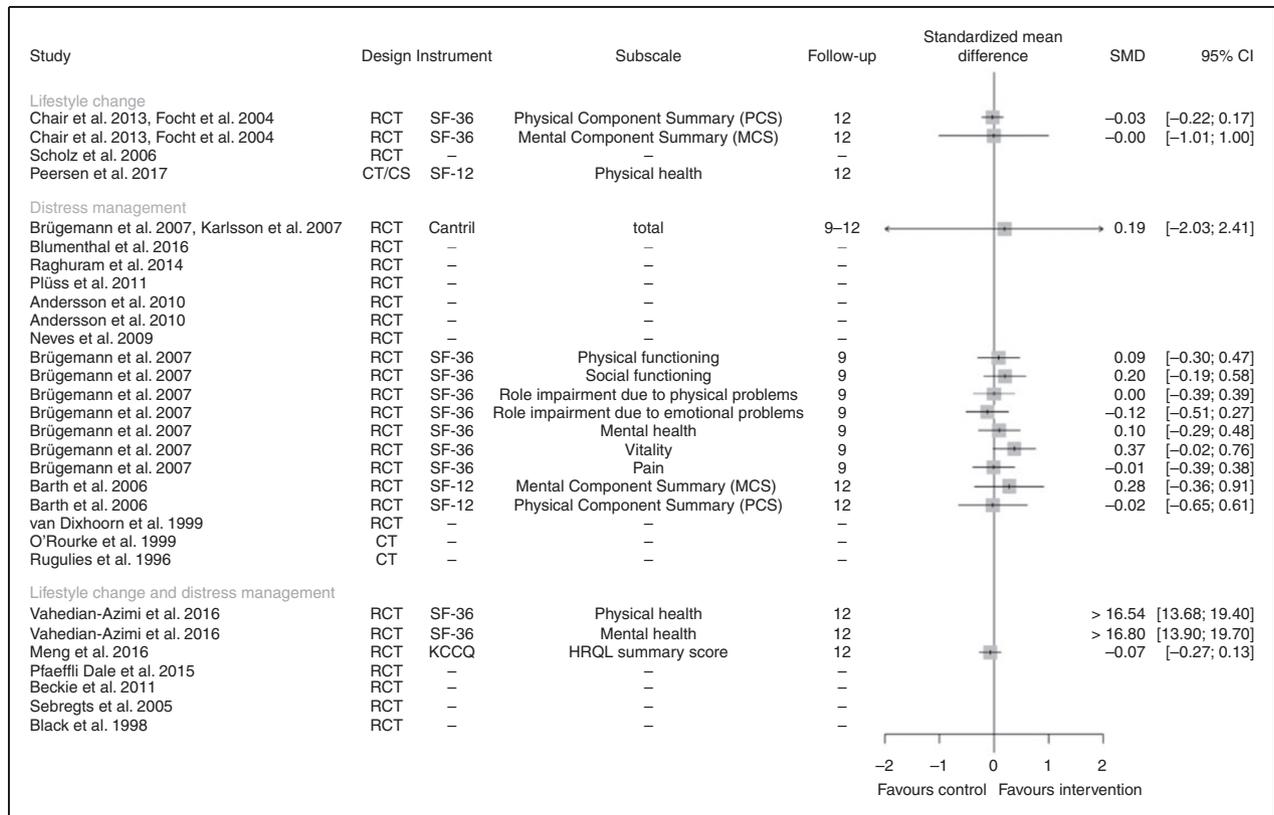
**Mortality**

The raw data are summarized in Table 2. Over all, the mortality event rates seemed to be comparable in both treatment groups.

**Discussion**

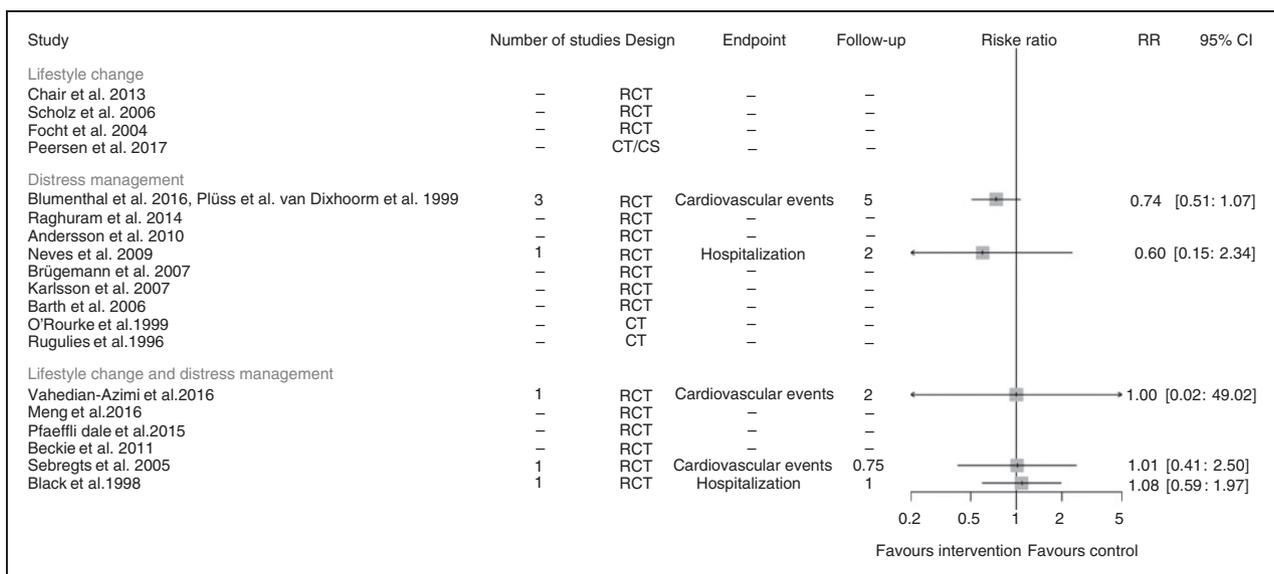
This systematic review suggests that psychologically supported lifestyle change and distress management on top of ebCR results in a small but statistically not significant effect on depressive symptoms (SMD -0.13, 95% CI -0.30; 0.05). Furthermore, we found a small effect of distress management on cardiovascular events over five years (RR 0.74, 95% CI 0.51 to 1.07), although this effect was also not significant.

Compared with other meta-analyses on psychological interventions, our small and insignificant effects on depression and cardiovascular events might be explained by our restrictive strategy in defining control groups. It is well documented and confirmed in numerous controlled clinical trials that exercise training by itself significantly improves prognosis in patients with CVD and also may beneficially affect psychological well-being. Moreover, prognosis of patients with CVD has been improved markedly by medical treatment, which also is promoted and closely controlled



**Figure 5.** Summary of all data on quality of life, separated for lifestyle change, distress management, and a combination of both interventions.

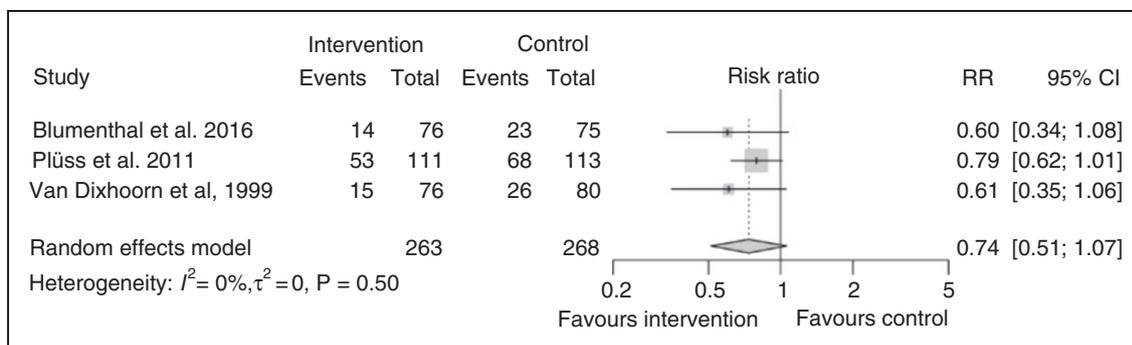
Note: The pooled effect of Brügemann et al. (2007) and Karlsson et al. (2007) is based on a random effects model.



**Figure 6.** Summary of all studies with the outcome cardiovascular morbidity, separately for distress management, and lifestyle change plus distress management.

Note: The meta-analysis of the studies of Blumenthal et al. 2016, Plüss et al. 2011 and van Dixhorn et al. 1999 is based on a random effect model. All other results are based on one study only.

RCT: randomized controlled trial; CT: cohort trial; CS: cross-sectional; RR: risk ratio; CI: confidence interval



**Figure 7.** Effects of three comparable trials on distress management on morbidity. RR: risk ratio; CI: confidence interval

**Table 2.** Raw data on mortality (overall and CV).

Study	Follow-up	Overall mortality		CV mortality	
		Intervention	Control	Intervention	Control
Distress management					
Blumenthal et al., 2016	3.2 years (median)	0/76	2/75		
Plüss et al., 2011	5 years	10/111	8/113	5/111	3/113
Neves et al., 2009	2 years	0/40	0/40		
van Dixhoorn et al., 1999	5 years			5/76	7/80
Lifestyle change and distress management					
Vahedian-Azimi et al., 2016	2 years	1/33	2/30		

Mortality is listed as deaths/total number of patients.

in ebCR. In our study, ebCR, as defined by our inclusion criteria, often included education and brief psychological advice, which may itself contribute to the positive effects. Against this background, it might be difficult to document additional beneficial effects of specific psychological interventions as compared with ebCR alone.

Still, even in the light of these optimized control conditions, our data suggest that specific psychological interventions may reduce depressive symptoms in selected cardiovascular patients, a result which is supported by another recent meta-analysis by Richards et al.<sup>15</sup> This study has shown a comparable but statistically significant effect of various psychological interventions on depressive symptoms (SMD  $-0.27$ , 95% CI  $-0.39$ ;  $-0.15$ ). In contrast, we could not confirm their small but significant effect of psychosocial interventions on anxiety (SMD  $-0.24$ , 95% CI  $-0.38$ ;  $-0.09$ ).<sup>15</sup> Moreover, our data also do not support a positive effect of specific psychological interventions on QoL, as previously described by Linden et al. ( $r = -0.21$  vs.  $-0.13$ ,  $p < 0.05$ ).<sup>12</sup>

The small but insignificant effect of distress management on cardiovascular events is in line with the

meta-analysis presented by Richards et al.,<sup>15</sup> who neither found significant effects on revascularization procedures (RR 0.94, 95% CI 0.81; 1.1) nor on risk reduction for non-fatal MI (RR 0.82, 95% CI 0.64; 1.05). The same is true for total mortality, which was neither positively affected in the present study nor in the meta-analysis by Richards et al. (RR 0.90, 95% CI 0.77; 1.05).<sup>15</sup>

However, the trend towards positive effects of distress management on cardiovascular morbidity as shown in this meta-analysis is in line with older meta-analyses on psychological interventions by Linden et al.<sup>12</sup> and Cramer et al.<sup>6</sup> Linden et al.<sup>12</sup> especially found positive effects in studies with follow-up periods longer than two years (odds ratio (OR) 0.57, 95% CI 0.37; 0.86). Remarkably, the three studies aggregated in our meta-analyses<sup>25,29,31</sup> had follow-up periods of five years.

Additional evidence that distress management may have a positive impact on cardiovascular prognosis was found by two RCTs with seven years of follow-up: Orth-Gomer et al.<sup>42</sup> reported on a group intervention for women after MI, aiming at 'stress reduction'. After seven years, total mortality was significantly reduced

(OR 0.33, 95% CI 0.15; 0.74). Gulliksson et al.<sup>43</sup> replicated this intervention in men and women with CAD and found a significant reduction in cardiovascular event rates after seven years (hazard ratio 0.59, CI 95% 0.42; 0.83). However, their control condition was defined as 'usual care' and thus did not necessarily include ebCR.

Indirect evidence for possible additional effects of psychological interventions on cardiovascular morbidity derives from one of the largest controlled trials on exercise training in cardiac patients with heart failure, the HF-ACTION trial.<sup>44,45</sup> This trial found that the effect size of exercise training on depressive symptoms was small and initially depressed patients remained in the depressed range after treatment. Thus, one could hypothesize that specific psychological interventions on top of ebCR could be efficacious at least in vulnerable subgroups, for example, patients with high distress and/or depressive symptoms.

Our second research question focused on the differential efficacy of specific types of psychological interventions. Previously, Welton et al.<sup>13</sup> reported evidence that (a) all kinds of psychological interventions (i.e. 'educational', 'behavioural', 'cognitive', 'relaxation', 'psychosocial support') reduce anxiety, (b) 'behavioural' and 'cognitive' interventions reduce depression, and (c) 'behavioural interventions' reduce total mortality and non-fatal MI. The data presented here only support the assumption that psychological lifestyle change interventions ('behavioural intervention' according to Welton et al.<sup>13</sup>) and distress management when added to ebCR may be able to reduce symptoms of depression. In addition, our results indicate that only distress management, most likely comparable to their definition of 'cognitive interventions', may reduce cardiac morbidity on top of ebCR.

### Strengths

To our best knowledge, this is the first meta-analysis to specifically evaluate the added value of psychological interventions compared with ebCR alone with respect to depression, anxiety, QoL, cardiovascular morbidity and mortality. Furthermore, we only included studies published after 1995, thereby taking into account the effects of modern pharmacotherapy and interventional cardiology. Literature search, study selection, data extraction, risk of bias assessment, statistical analysis and reporting of results were in concordance with highest available standards.

### Limitations

The studies included in this meta-analysis were of only low to moderate quality including predominantly small

and heterogeneous populations. The psychological interventions under investigation also were heterogeneous with respect to intensity and duration, even within the subgroups analysed separately. This also applied for the control groups that should represent ebCR but very often have not sufficiently been described with respect to content and intensity. Furthermore, assessment of somatic and psychological outcomes was heterogeneous with respect to definition of endpoints and psychometric instruments selected, which in particular was relevant for subgroup analyses. Only one-third of all studies reported outcomes after a follow-up of two years or longer (maximum five years). There was almost no presentation of gender-specific results, therefore a subgroup analysis on this topic could not be performed. Only three studies explicitly included patients with psychosocial strain, for example, depression or psychosocial problems, while three others explicitly excluded patients with mental disorders or psychosocial problems. Hence, we were unable to evaluate the effects of psychological interventions in specific subgroups, for example, patients with symptoms of depression or anxiety, or chronic stress.

### Consequences and future directions

Based on our findings, we conclude that psychological interventions specifically targeting lifestyle change and distress in addition to ebCR may have an additional impact on symptoms of depression and cardiovascular events, especially in vulnerable subgroups. Although our results could not confirm an additional impact on anxiety, QoL and cardiovascular mortality, our systematic review still supports the recommendation given by the European Society of Cardiology prevention and rehabilitation guidelines<sup>1,11</sup> that 'multimodal interventions' like ebCR should include distinct psychological interventions adjusted to the needs of the individual patient.

However, there is considerable uncertainty regarding which specific psychological interventions may work best for whom and under which conditions. Well designed large scale trials are needed to clarify issues like gender effects, timing, and types and amount of interventions, as well as the efficacy in patients with defined psychosocial problems.

### Author contribution

CA, CHL, KJ, MH, BS and BR designed this systematic review. MG performed the systematic literature search, NM, CK, CA and CHL selected and evaluated retrieved studies. KJ and MH extracted the data, assessed the risk of bias and analysed the extracted data. CA and CHL were responsible for drafting the report. All authors reviewed the manuscript, gave their final approval and agreed to be accountable for all aspects of work ensuring integrity and accuracy.

### Declaration of conflicting interests

The author(s) declared the following potential conflicts of interest with respect to the research, authorship, and/or publication of this article: during the last three years, CA received grants from the German Ministry for Education and Research and lecture honoraria from Boehringer Ingelheim, Bayer Vital, Daiichi Sankyo and MSD Sharp & Dohme, outside the submitted work. CHL received grants from the German Ministry for Education and Research and lecture honoraria from Novartis, Heel and Servier, and personal fees from Hogrefe Huber Publishers, outside the submitted work. Furthermore, he is in leading functions in medical specialty societies for psychosomatic medicine. The Institute of Medical Biometry and Informatics of the University Heidelberg (MH, KJ) received grants from the German Society of Cardiovascular Prevention & Rehabilitation outside the submitted work. Outside the submitted work BR received honoraria as a consultant of 'Abbott Medical Devices' and lecture honoraria from the 'Deutsche Hochdruckliga e.V.'. BS, MG, NM and CK report no conflicts of interest.

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